

FORM PTO-1390 (Modified) (REV 5-93)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371				078986-0205	
				U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.5)	
INTERNATIONAL APPLICATION NO. PCT/GB00/00281		INTERNATIONAL FILING DATE 02/01/2000		PRIORITY DATE CLAIMED 02/01/2000	
TITLE OF INVENTION REGULATION OF ANAESTHESIA					
APPLICANT(S) FOR DO/EO/US Christopher John Douglas Promfrett et al.					
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:					
<p>1. <input type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input checked="" type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input checked="" type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau). <input checked="" type="checkbox"/> has been transmitted by the International Bureau. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US)</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</p> <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). <input type="checkbox"/> have been transmitted by the International Bureau. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. <input type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p> <p>11. <input type="checkbox"/> Applicant claims small entity status under 37 CFR 1.27.</p>					
Items 12. to 17. below concern other document(s) or information included:					
<p>12. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>13. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>14. <input type="checkbox"/> A FIRST preliminary amendment.</p> <p>15. <input checked="" type="checkbox"/> An Amendment in Response to Notice Under 37 CFR §§1.821-825, including with marked up version of same</p> <p>16. <input type="checkbox"/> A substitute specification.</p> <p>17. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>18. <input checked="" type="checkbox"/> Other items or information: Sequence Listing in computer readable form One ASCII diskette; Paper copy of the Sequence Listing ; Statement to Support Filing and Submission in Accordance with 37 C.F.R. 1.821 – 1.825 signed by James A. Coburn</p>					

U.S. APPLICATION NO. (If known, see 37 C.F.R. 1.50) 09/890,721	INTERNATIONAL APPLICATION NO. PCT/GB00/00281	ATTORNEY'S DOCKET NUMBER 078986-0205
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18. <input type="checkbox"/> The following fees are submitted:	CALCULATIONS	PTO USE ONLY
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Basic National Fee (37 CFR 1.492(a)(1)-(5): Search Report has been prepared by the EPO or JPO	\$.00
International preliminary examination fee paid to USPTO (37 CFR 1.482)	\$.00
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))	\$.00
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO	\$.00
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)	\$.00

ENTER APPROPRIATE BASIC FEE AMOUNT =	\$.00
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Surcharge of \$130.00 for furnishing the oath or declaration later than 20 Months from the earliest claimed priority date (37 CFR 1.492(e))	\$.00
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Claims	Number Filed		Included in Basic Fee		Extra Claims		Rate		
Total Claims	12	-	20	=	0	x	\$18.00	\$0.00	
Independent Claims	3	-	3	=	0	x	\$84.00	\$0.00	

Multiple dependent claim(s) (if applicable)	\$280.00
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TOTAL OF ABOVE CALCULATIONS =	\$.00
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Reduction by 1/2 for filing by small entity, if applicable.	\$0.00
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SUBTOTAL =	\$.00
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Processing fee of \$130.00 for furnishing English translation later the 20 months from the earliest claimed priority date (37 CFR 1.492(f))	+
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TOTAL NATIONAL FEE =	\$.00
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Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +	
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TOTAL FEES ENCLOSED =	\$.00
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	Amount to be: refunded \$
	charged \$

- a. ☐ A check in the amount of \$1020.00 to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 50-0872 in the amount of \$.00 to the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0872. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Foley & Lardner
Customer Number: 23392



23392

PATENT TRADEMARK OFFICE

Ted Rittmaster, Registration No. 32,933

Signature:

Date: July 1, 2002

01 JUL 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Christopher John Douglas Pomfrett *et al.*

Title: Regulation of Anaesthesia

Appl. No. 09/890,721

Filing Date: February 1, 2002

Examiner: John L. Anderson

Art Unit: 1645

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box PCT
Commissioner for Patents
Washington, D.C. 20231


Commissioner:

I hereby certify that the following paper(s) along with any attachments referred to or identified as being attached or enclosed are being deposited with the United States Postal Service as Express Mail (Express Mail Label No. EL857558425US) under 37 C.F.R. § 1.10 on the date of deposit shown below with sufficient postage and in an envelope addressed to: Box PCT Application, Commissioner for Patents, Washington D.C. 20231.

1. Certificate of Mailing by Express Mail
2. Transmittal Letter to the US Designated/Elected Office (DO/EO/US) concerning a filing under 35 U.S.C. 371 (in duplicate)
3. Copy of Notification of Missing Requirements under 35 U.S.C. 371 in the US Designated/Elected Office
4. ASCII diskette in a Anti Static Media Mailer envelope
5. Amendment in Response to Notice under 37 CFR §§1.821-1.825 with marked up version of same
6. Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821-1.825
7. Return postcard

Respectfully submitted,

Date: July 1, 2002


Agnes Castillo

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